



REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995.

See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/890,351
Filing Date	July 30, 2001
Examiner Name	Qian J. Li
First Named Inventor	Makoto ASAHIMA et al.
Group Art Unit	1632
Attorney Docket Number	31671.173644

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53 (d) (PTO/USB29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. Submission required under 37 C.F.R. § 1.114

a. ☒ Previously submitted

- i. ☒ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on March 19, 2003
(Any unentered amendment(s) referred to above will be entered.)
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed
- iii. ☒ Other Petition for three month extension of time (one month extension)

b. Enclosed

- i. ☐ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other

2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested und a period of _____ months. (Period of suspension shall not exceed 3 months; Fee
- b. ☐ Other

3. Fees

- The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the
- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 22-0261
- i. ☒ RCE fee required under 37 C.F.R. § 1.17(e)
- ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
- iii. ☐ Other
- b. ☒ Check in the amount of \$ 1,570.00 enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print /Type)	Ann S. Hobbs	Registration No. (Attorney/Agent)	36,830
Signature	<i>Ann S. Hobbs</i>	Date	May 19, 2003

VENABLE
P.O. Box 34385
Washington, DC 20043-9998

SEND Fees and Completed Forms to the following address: Commissioner for Patents, Box RCE, Washington, DC 20231.
PC Docs No. 457684v1

VENABLE
ATTORNEYS AT LAW

RECEIVED

*Amend not in
case not was
it w/ RCE.*

#11/
H.T.
5/27
RCE

111792

VENABLE Filing Number

03/18/03

Filing Date

Atty. Docket No.: 31671-173644

Applicant: ASASHIMA et al

Application No.: 09/890,351

Filing Date: July 30, 2001

Patent No.: _____

Issue Date: _____

Trademark: _____

Trademark Reg. No.: _____

Opposition/Cancellation No.: _____

The following items were received from VENABLE

Washington, D.C., by the U.S. Patent & Trademark Office:

U.S. PTO FEES ENCLOSED:

_____ Transmittal Letter for U.S. National Stage Entry
 _____ Provisional Application Cover Sheet
 _____ Provisional Application (____ pages of spec)
 XX Color Photographs (Figs. 3 & 5)
 _____ Inventor Declaration/Power of Attorney
 _____ National Stage Application
 _____ International Application published
 _____ Response to Notice to File Missing Parts
 _____ International Preliminary Examination Report/English Language
 _____ International Search Report - English Language
 _____ Division Application under 37 CFR 1.53(b)
 (in duplicate with a copy of the specification, claims, drawings & Inventor Declaration)
 _____ Priority Document - Cert. Copy of _____
 Appln.# _____, Dated: _____
 _____ Assignment w/cover sheet
 XX References (16) (14)
 _____ Response To Restriction Requirement
 _____ Preliminary Amendment
 XX Reply to a Final Office Action
 _____ Response to Notice to File Missing Parts
 _____ Request for Extension of Time
 _____ Notice of Appeal
 _____ Appeal Brief (in triplicate)
 _____ Reply Brief (in triplicate)
 _____ Request for Approval of Drawing Changes
 XX Petition For Acceptance of Color Photographs
 _____ Abandoned Unintentionally Under 37 CFR 1.137(b)
 _____ Issue Fee Transmittal
 _____ Request for Continued Examination (RCE)
 _____ Added Inventor's Statement Under 37 C.F.R. § 1.48(a)
 _____ Certificate of Correction (in duplicate) USPTO/SB/44
 _____ Request for Corrected Filing Receipt
 _____ Change of Correspondence Address
 _____ Company to Company Assignment
 _____ Substitute Specification
 _____ Request for Withdrawal of Demand
 _____ Status Inquiry

_____ Filing Fee
 _____ Surcharge Fee
 _____ Additional Claim Fee
 _____ Independent Claim Fee
 _____ Recordation/Indexing Fee
 _____ IDS Fee
 \$110.00 Extension Fee
 _____ Notice of Appeal Fee
 _____ Brief on Appeal Fee
 _____ Request for Continued Examination Fee
 \$130.00 Petition For Acceptance of Color Photographs
 _____ Issue Fee Transmittal
 _____ Certificate of Correction Fee
 _____ TM Statement of Use
 _____ PCT Demand/Chapter II
 _____ §§ 8 and 15 Affidavit
 _____ TM Renewal Application Fee
 _____ Notice of Opposition Fee
 \$240.00 TOTAL FEES ENCLOSED
 Check Number _____

X IPER

DC2-DOCSI-170905

FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 240

Complete if Known

Application Number 09/880,351
Filing Date July 30, 2001
First Named Inventor Makoto Asashima
Examiner Name Gnan J. Li
Group / Art Unit 1632
Attorney Docket No. 31671-173844

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 22-0261
Deposit Account Name VENABLE ATTORNEYS AT LAW

The Commissioner is authorized to: (check all that apply)
☐ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) under 1.16 or 1.17 during pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
		1001	750	Utility filing fee	
		1002	330	2002 165 Design filing fee	
		1003	520	2003 260 Plant filing fee	
		1004	750	2004 375 Reissue filing fee	
		1005	160	2005 80 Provisional filing fee	
SUBTOTAL (1)					(\$) 0

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
	0	X	0
Independent Claims			
	0	X	0
Multiple Dependent			
	X		0

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$) 0				

**or number previously paid, if greater. For Reissues, see above

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing request for ex parte reexam	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	110
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	130
1807	50	1807	50	Processing fee under 37 CFR 1.17 (g)	
1806	180	1806	180	Submission of Information Disclosure Sheet	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.126(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)					
*Reduced by Basic Filing Fee Paid					
SUBTOTAL (3)					(\$) 240

SUBMITTED BY

Name (Print/Type) Nancy J. Axelrod Registration No. Attorney/Agent 44,014 Telephone (202) 982-8334
Signature *Nancy Axelrod* Date March 18, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.
SEND TO: Commissioner for Patents, Washington, DC 20231.

VENABLE
ATTORNEYS AT LAW

PC Docs No. 442372